

012904  
11696 U.S. PTO

PTO/SB/50 (06-03)

Approved for use through 01/31/2004. OMB 0651-0033  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:  Mail Stop Reissue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No.	109960.220 US5
	First Named Inventor	Rollhaus et al.
	Original Patent Number	6,343,063
	Original Patent Issue Date (Month/Day/Year)	01/29/2002
	Express Mail Label No.	EV225204946US

**APPLICATION FOR REISSUE OF:**  
(Check applicable box)  Utility Patent  Design Patent  Plant Patent

**APPLICATION ELEMENTS (37 CFR 1.173)**

- Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status. See 37 CFR 1.27.
- Specification and Claims in double column copy of patent format  
(amended, if appropriate)
- Drawing(s) (proposed amendments, if appropriate)
- Reissue Oath/Declaration (original or copy)  
(37 CFR 1.175) (PTO/SB/51 or 52)
- Power of Attorney
- Original U.S. Patent currently assigned?  Yes  No  
(If Yes, check applicable box(es))
  - Written Consent of all Assignees (PTO/SB/53)
  - 37 CFR 3.73(b) Statement  
(PTO/SB/96)
- CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table
- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all of the following are necessary)
  - Computer Readable Form (CFR)
  - Specification Sequence Listing on:
    - i  CD-ROM (2 copies) or CD-R (2 copies); or
    - ii  paper
  - Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- Statement of status and support for all changes to the claims. See 37 CFR 1.173(c).
- Original Patent Grant
  - Ribboned Original Patent Grant
  - Statement of Loss (PTO/SB/55)
- Foreign Priority Claim (35 U.S.C. 119) (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- English Translation of Reissue Oath/Declaration (if applicable)
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Application Data Sheet;  
Addendum to Declaration;
- Other: \_\_\_\_\_  
Statement of Facts and Addendum; and  
Petition Pursuant to 37 CFR 1.47(a)

17513 U.S. PTO  
10/787961**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	23483	<b>OR</b>	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Peter M. DiChiara	Registration No. (Attorney/Agent)	38,005
Signature			Date January 29, 2004

This collection of information is required by 37 CFR 1.173. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Reissue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**REISSUE APPLICATION FEE TRANSMITTAL FORM**Docket Number (Optional)  
109960.220US5**Claims as Filed – Part 1**

	(1) Claims in Patent	(2) Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	(A) 6	(B) 6	**** 0 =	x \$ ____ =		or	x \$ ____ =
Independent claims (37 CFR 1.16(i))	(C) 2	(D) 2	* 0 =	x \$ ____ =			x \$ ____ =
			Basic Fee (37 CFR 1.16(h))		\$ _____	\$ 770.00	
			Total Filing Fee		\$ _____	OR	\$ 770.00

**Claims as Amended – Part 2**

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 61	MINUS	** 20	* = 41	x \$ ____ =		x \$ 18 =	738.00
Independent Claims (37 CFR 1.16(i))	*** 22	MINUS	***** 2	= 20	x \$ ____ =			1720.00
				Total Additional Fee		\$	OR	\$ 3228.00

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims.

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

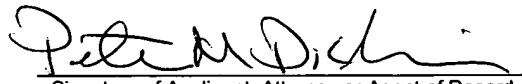
 Applicant claims small entity status. See 37 CFR 1.27. Please charge Deposit Account Number 08-0219 in the amount of \$3228.00. A duplicate copy of this sheet is enclosed. The Director is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account Number 08-0219. A duplicate copy of this sheet is enclosed. A check in the amount of \$ \_\_\_\_\_ to cover the filing/additional fee is enclosed. Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

January 29, 2004

Date

38,005

Registration Number, if applicable

  
Signature of Applicant, Attorney or Agent of Record

Peter M. Dichiara

Typed or printed name

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	TBA
Filing Date	01/29/2004
First Named Inventor	Rollhaus, et al.
Examiner Name	TBA
Art Unit	TBA
Attorney Docket No.	109960.220 US5

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	08-0219
Deposit Account Name	Hale and Dorr LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 0.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee from below	Fee Paid
Total Claims		-20** =	
Independent Claims		X =	
Multiple Dependent		-3*** =	
		X =	
		0 =	0

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)		37 CFR 1.47(a) Petition	130.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Peter M. Dichiara	Registration No. (Attorney/Agent)	38,005	Telephone	617-526-6466
Signature			Date	01/29/2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Express Mail Label No. EV225204946US  
Date of Deposit: January 29, 2004  
Attorney Docket No. 109960.220 US5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Rollhaus, <i>et al.</i>	Group Art Unit	TBA
Application No.:	TBA	Examiner	TBA
Filing Date:	January 29, 2004		
Title:	<b>Machine-Readable Optical Disc with Reading-Inhibit Agent</b>		

Mail Stop Reissue  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION PURSUANT TO 37 C.F.R. § 1.47(a)**

Applicants hereby petition to allow the above-identified application to be made on behalf of inventor Irwin Winkler.

Accompanying this petition are:

(1) a declaration signed by the remaining inventors; and  
(2) a Statement of Facts in Support of Filing on Behalf of an Unavailable Inventor signed by M. Scott Carey, Vice President of Legal Affairs, at Flexplay Technologies, Inc. containing attachments in support thereof.

Mr. Winkler's last known home address is:

24 Gould Road  
Arlington, MA 02476-8116

The Commissioner is authorized to charge Deposit Account No. 08-0219 the \$130.00 fee to cover the cost of the petition according to 37 C.F.R. § 1.17(h). No other fees are believed to be due in connection with this submission. However, please charge any fees or credit any overpayment to Deposit Account No. 08-0219.

02/04/2004 HDEMESS1 00000012 080219 10767961

04 FC:1460 130.00 DA

If there are any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,



Peter M. Dichiara, Esq.  
Reg. No. 38,005  
Attorney/Agent for Applicants

Date: January 29, 2004  
HALE AND DORR LLP  
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